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**Negotiating future climates for public policy:
a critical assessment of the development of climate scenarios for the UK**

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48 **Abstract**

49

50 Climate scenarios serve a number of functions in helping society manage climate change –
51 pedagogic, motivational, or in engineering design, spatial planning and policy development.
52 A variety of methodologies for scenario construction have been experimented with, all of
53 them to a greater or lesser extent depending on the use of climate models. Yet the
54 development of climate scenarios involves much more than climate modelling. The process
55 of scenario development is one of negotiation between relevant stakeholders – funding
56 agencies, policy communities, scientists, social actors and decision-makers in a variety of
57 sectors. This process of negotiation is illustrated through an analysis of four generations of
58 UK climate scenarios – published in 1991, 1996, 1998 and 2002. Using three ideas from
59 science and technology studies and the sociology of scientific knowledge to guide our
60 analysis, we reveal complex relationships between the interests of UK science, policy and
61 society. Negotiating climate scenarios involves compromise between the needs of policy,
62 science and decision-maker in relation to, for example, the selection of the development
63 pathway(s) and emissions scenario(s), the choice of climate model(s), the assessment and
64 communication of uncertainty and the presentational devices used. These insights have a
65 significant bearing on the way in which climate scenarios should be viewed and used in
66 public discourse, planning and policy development.

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68

69

70 **Keywords**

71 Climate scenarios, modelling, uncertainty, boundary objects, public policy, UK

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74 **1 Introduction**

75 The last twenty years have seen a revolution in the way UK society perceives, relates to and
76 plans for future climate. The origins of this revolution lie in the unfolding discovery (see
77 Weart, 2003) that global climate is changing as a result of human emissions of greenhouse
78 gases and in the emerging capability of science to offer quantitative assessments of the
79 evolution of future climate (e.g. IPCC, 2007). These assessments extend well beyond the
80 conventional timescales of numerical weather prediction (a few days) or of seasonal
81 forecasting (a few months): Earth system model simulations aim to predict future climatic
82 evolution over periods from several decades up to the next millennium or beyond (e.g. Lenton
83 et al., 2006). For the first time science is claiming to be able to reveal the climate of future
84 generations as a function of the actions of past, present and future generations. Climate
85 change is therefore a highly visible and contentious issue in public policy and in international
86 relations, which some claim has greater saliency and urgency than any other global issue
87 (King, 2004; Blair, 2006). Securing a stable climate as a ‘public good’ is a new grand project
88 of human-kind (Ashton, 2005).

89 One facet of this revolution has been the development of scenarios of future climate
90 and their increasing visibility in debates around public policy and planning. The UK
91 Government has cultivated a desire to subject far-reaching investment, management, planning
92 and policy decisions to scrutiny with respect to their influence on both future climate and on
93 society’s ability to cope with future climate (Hedger et al., 2006). The ‘scenario’ terminology
94 was borrowed by climate scientists from its original 1950s usage in military strategy and
95 planning and its 1970s usage in the energy business (see, e.g., van der Heijden, 1997). As
96 viewed by climate researchers, a scenario is a coherent, internally consistent, and plausible
97 description of a possible future state of the world (Carter et al., 1994). The first formal
98 climate scenarios were published in 1980 (Wigley et al., 1980) and over the subsequent
99 quarter century numerous scientific papers and reports (initially) and strategy, policy and
100 management studies (latterly) have been devoted to the methodology, quantification and
101 communication of climate scenarios¹. In the Third Assessment Report of the
102 Intergovernmental Panel on Climate Change (IPCC) an entire chapter in the Working Group 1
103 report was devoted to assessing the science of climate scenario construction (Mearns et al.,
104 2001).

¹ There is a formal distinction between a ‘climate scenario’ and a ‘climate change scenario’ depending on whether the scenario describes future *changes* in climate (from some assumed, unarticulated baseline), or whether the scenario describes an actual future climate (Mearns et al., 2001).

105 Most of the early climate scenarios were developed for use in scientific impacts
106 assessments (e.g. Carter *et al.*, 1994). These quantitative or semi-quantitative descriptions of
107 possible future climates were used as input to research studies exploring what future climate
108 change might mean for a range of ecosystem, and (sometimes) social system, services and
109 functions. During the 1990s and early 2000s, however, some climate scenarios began to take
110 on a rather more formal and significant role because they were commissioned by public
111 bodies with responsibility for public policy and planning; for example, the UK Department of
112 the Environment (CCIRG, 1991; 1996; Hulme & Jenkins, 1998; Hulme *et al.*, 2002), the EU
113 Directorate General-Environment (Rotmans *et al.*, 1994), EU Directorate General-Research
114 (Parry, 1999), the US Government (MacCracken *et al.*, 2003) and the Australian Greenhouse
115 Office (e.g. CSIRO, 2001). These scenarios, and their subsequent use in policy and planning,
116 carried significant authority. Not only were they commissioned by public bodies and based
117 upon peer-reviewed scientific models and assessments, but they started to be used to inform,
118 or even to define, statutory public policy guidelines.

119 National climate scenarios are increasing prevalent (existing for, *inter alia*, the USA,
120 Australia, UK, Sweden, Portugal, Finland, Spain, the Netherlands) and are often endowed
121 with governmental authority to guide decision-making. It is important to understand the
122 negotiated processes of climate scenario construction for a number of reasons. First, it helps
123 to reveal what information is contained within the scenarios, what information is absent or
124 suppressed and why these decisions were made. Failure to expose such limitations and
125 contingency endows scenarios with an unwarranted aura of authority for downstream users
126 (Lahsen, 2005). Second, an analysis of climate scenario construction processes is a specific
127 case which illustrates the broader issue of how science is introduced into policy (e.g. Jasonoff
128 & Wynne, 1998; Sarewitz & Pielke, 2006). Third, understanding the way in which scenarios
129 have been constructed in the past may help in the design, operation and products of scenario
130 construction processes in the future. The demand for climate scenarios is only likely to
131 increase in the future and making best use of science for policy in this area is a priority shared
132 across many governmental agencies.

133 The paper examines this process of climate scenario negotiation drawing upon the four
134 generations of formal climate scenarios that have been published by the UK Government
135 between the years 1991 and 2002. The UK is chosen as the case study since it has been
136 longest in the business of climate scenarios (cf. Wigley *et al.*, 1980), since it has been through
137 four generations of scenario development, and since one of the authors (Hulme) was directly
138 involved in all four generations. The UK is also a good case study to choose because it is

139 about to launch a fifth generation set of climate scenarios during 2008 under the auspices of
140 the UK Climate Impacts Programme – UKCIP08². This critical assessment of the
141 construction of past scenarios may well illuminate important facets of the next generation of
142 UK climate scenarios about which users and producers should be aware. Our assessment
143 draws upon published reports and papers relating to the scenarios, unpublished contractual
144 agreements and the informal meeting notes of one of the authors (Hulme).

145 The paper is structured in five subsequent sections. In Section 2, we introduce three
146 ideas from science and technology studies and the sociology of scientific knowledge which
147 we use to frame our assessment. Section 3 provides a very brief chronology of the four
148 generations of UK climate scenarios – 1991, 1996, 1998 and 2002 - commenting on their
149 origins and basic construction and characteristics. In Section 4, we assess these scenarios
150 with respect to process, content and delivery. Section 4.1 examines the relationships between
151 the stakeholders involved in the respective processes and how negotiations between these
152 stakeholders resulted in important decisions being made about their design and
153 communication. Section 4.2 reveals the different ways in which uncertainty about future
154 climate has been characterised and articulated in the different climate scenarios. Uncertainty
155 is perhaps the single most sensitive facet of a climate scenario and one that climate science
156 has struggled to come to terms with (Shackley & Wynne, 1996; Moss & Schneider, 2000; van
157 der Sluijs, 1997; van der Sluijs et al., 1998; Lahsen, 2005). In Section 4.3 we examine the
158 ways in which the UK climate scenarios have been communicated and applied in wider public
159 debate and policy planning. Using the three framing ideas introduced in Section 2, we then
160 draw out some of our key insights from this critical assessment (Section 5), before concluding
161 in Section 6 with some final thoughts that are relevant for the future construction,
162 understanding, communication and application of climate scenarios.

163

164 **2 Three Framing Ideas**

165

166 Based on our reading of the sociology of science literature and our *a priori* understanding of
167 the nature of climate scenarios, we consider the following three ideas to be of potential use to
168 us in our later interpretation of the four generations of UK climate scenarios.

169 In relation to science policy, we adopt the thinking of Sarewitz and Pielke (2006) in
170 their recent assessment of the metaphor of ‘reconciling supply and demand’ for understanding
171 the design of science policy. With the exception of what may be considered ‘basic’ science (a

² Details of this on-going process can be found at www.ukcip.org.uk/scenarios/ukcip08.

172 minority of the total science spend), most decisions to invest in science have some purposeful
173 goal in mind. In pursuing a particular set of societal goals, how do we know if a given
174 research portfolio is more potentially effective than another portfolio? In other words, how
175 are decisions made which seek to reconcile the supply of scientific ideas and capabilities with
176 the demand for specified types of scientific products or outcomes? These decisions not only,
177 obviously, determine what science gets done, but they also have a large bearing on the
178 eventual types of scientific information that may be available for decision-makers or, in our
179 case, available for the construction of climate scenarios. This constraint has long been
180 identified in science and technology studies (e.g. Gibbons et al., 1994), but it remains rare to
181 subject an evolutionary history of climate scenarios to this critical screening.

182 Drawing upon the ideas of Guston (1999), Miller (2001) and Cash et al. (2003) of how
183 boundaries between knowledge and action can be managed when engaged in post-normal
184 science, we also use the idea of ‘saliency, credibility and legitimacy’ as three criteria against
185 which to evaluate the effectiveness of the UK climate scenarios. Saliency is concerned with
186 the relevance of the scenarios to the needs of decision-makers, credibility is concerned with
187 the scientific adequacy of the technical component of the scenarios, and legitimacy is
188 concerned with the process and transparency of the scenario design, construction and
189 distribution. Boundary objects’ (Star & Griesemer, 1989) and ‘boundary organisations’
190 (Guston, 1999) are also useful constructs for thinking about how climate scenarios may be
191 evaluated against these three criteria. These entities, existing at the boundary of science and
192 politics, receive legitimacy from both worlds and emerge as a way of preserving the cognitive
193 authority of science.

194 The third framing idea we use is that of social constructivism as developed by the
195 sociology of scientific knowledge (SSK) community (e.g. Golinski, 1998; Hannigan, 2006).
196 In particular, following Demeritt (1994; 2006) and drawing upon the ideas of Beck (e.g.
197 1992), Jasanoff and Wynne (e.g. 1998) and Latour (e.g. 2004), we consider that scientific
198 tools for public policy – such as climate scenarios - have an essential and unavoidable
199 constructionist dimension, i.e., they cannot emerge solely as a product of a conventional
200 positivist science. The insights provided by such sociologists of science would suggest that
201 such tools are products of an interactive process (or negotiation) between scientists and the
202 interests of society in which a number of considerations extrinsic to a positivist methodology
203 play an important part. How the interests of society are represented in this negotiation is by
204 no means the least significant factor. We therefore contend that climate scenarios are socially
205 contingent products of a post-normal science; they are ‘situated’ in a particular time and place

206 and carry the mark of a particular set of power relationships between a bounded set of social
207 actors. We do not suggest that climate scenarios are ‘nothing but’ a social construction (nor
208 indeed that climate change is simply constructed rather than observed and discovered,
209 avoiding the destructive relativism of some constructionists), but we do recognise Latour’s
210 normative claim that ‘there are good constructions and bad constructions’ (Latour, 2004).
211

212 **3 A Chronology of UK Climate Scenarios**

213
214 This account should be read in conjunction with Figure 1 which provides a simple timeline of
215 the period concerned and Table 1 which summarises the key characteristics of the climate
216 scenarios.

217

218 3.1 CCIRG91

219 The first climate scenarios for the UK which carried an ‘official’ government stamp were
220 published in 1991 as part of the work of the Climate Change Impacts Review Group (CCIRG
221 1991). CCIRG was a group of academic experts brought together by the Department of the
222 Environment³ (DoE) to make an assessment of the possible impacts of climate change for the
223 UK. Their work was based primarily on published literature and was informed by the
224 recently published First Assessment Report of the IPCC (IPCC, 1990) and by the CCIRG91
225 climate scenarios. The scenarios were based on previous work by Hulme and Jones (1989),
226 further elaborated in Warrick and Barrow (1991).

227 By the end of the 1980s a number of climate modelling groups around the world had
228 completed model experiments in which atmospheric General Circulation Models (GCMs)
229 were used to simulate the response of the global climate to a doubling of carbon dioxide in the
230 atmosphere. Hulme and Jones (1989) examined results from five such GCM experiments
231 (Table 1) to construct regional scenarios of temperature and precipitation change for the UK.
232 These results suggested a generally warmer and wetter UK, with the exception of summer
233 where the model results diverged on the sign of the precipitation change. Warrick and
234 Barrow (1991) elaborated these results by making them time-dependent (or transient) by
235 combining the normalised spatial patterns of change from the equilibrium GCM experiments
236 with results from a simple upwelling-diffusion energy-balance climate model (Wigley and
237 Raper 1987), an approach called pattern-scaling (Santer et al., 1990). The concept of ‘climate

³ The UK environment ministry changed shape and name twice during the period of this assessment, in 1997 from the Department of the Environment (DoE) to the Department of Environment, Transport and the Regions (DETR), and again in 2001 to the Department of Environment, Food and Rural Affairs (Defra). We use whichever acronym was appropriate to the time.

238 change commitment⁴ was also introduced and was estimated to be between 0.6-1.7°C over
239 the decades ahead.

240 These results formed the basis of the CCIRG91 climate scenarios which in turn were
241 used by the CCIRG expert group in writing their report. The CCIRG91 scenarios were not
242 independently disseminated, other than through the scientific paper (Warrick & Barrow,
243 1991) and report cited above. There was no concerted effort by government to promote the
244 use of these scenarios, although the DoE subsequently established the Climate Impacts LINK
245 Project at the University of East Anglia (UEA) (Viner & Hulme, 1994) to make it easier for
246 research scientists to gain access to modelling results from the UK Hadley Centre.

247

248 3.2 CCIRG96

249 The second set of 'official' UK climate scenarios (CCIRG96) were associated with the
250 publication of the second CCIRG report in 1996 (CCIRG, 1996). As with CCIRG91, and
251 again commissioned by the DoE, these new scenarios were developed explicitly as part of the
252 work of this expert group. The CCIRG96 scenarios were based almost entirely on the results
253 of one GCM experiment, the first transient climate change experiment completed by the
254 Hadley Centre in 1992 with their coupled ocean-atmosphere model (known as UKTR or
255 HadCM1; Murphy, 1995; Murphy & Mitchell, 1995). The scenarios were more detailed than
256 CCIRG91 since they included estimates of changes in a number of climate variables other
257 than average temperature and precipitation (e.g. wet day frequency, precipitation intensity,
258 potential evapotranspiration, etc.). Daily data from the GCM were also used in addition to
259 monthly data. As well as a narrative and tabulated numbers, the scenarios also presented
260 contoured maps of these changes for the UK extrapolated from four GCM grid boxes
261 representing the UK land area.

262 The pattern of warming across the UK in CCIRG96 – greater in the southeast, lesser in
263 the northwest - was the opposite of that reported in CCIRG91, a consequence of the explicit
264 representation of ocean dynamics in HadCM1. As with CCIRG91, the 1996 scenario showed
265 wetter winters throughout the UK, but summer now saw a regional pattern with drying in the
266 south and wetting in the north. While only one model was used to create the scenario –
267 HadCM1 – the report discussed the different qualitative levels of confidence that were
268 attached to different climate variables (e.g. temperature had greater certainty than wind speed)
269 and a table supplied headline results from 11 other available climate models (Table 1).

⁴ The thermal inertia of the ocean means that climate will continue to warm even if greenhouse gas emissions were suddenly to cease (cf. Meehl et al., 2005; Wigley, 2005)

270

271 3.3 UKCIP98

272 The UK Climate Impacts Programme (UKCIP) was established by the DoE in early 1997 to
273 facilitate a national integrated climate change impacts assessment for the UK. One of the first
274 major products of this initiative was the publication of a new set of climate scenarios for the
275 UK, referred to as UKCIP98 (Hulme & Jenkins 1998). In contrast to the 1991 and 1996
276 scenarios, the UKCIP98 scenarios were developed as a stand-alone product within this much
277 larger co-ordinated government programme for engaging and facilitating stakeholder
278 assessments of climate change impacts and adaptation (Hedger *et al.*, 2000).

279 UKCIP98 was designed around two sets of climate change experiments completed in
280 1996 by the Hadley Centre using their new HadCM2 model, forced with greenhouse gas
281 equivalent concentrations increasing by 0.5% per annum for one set and by 1.0% per annum
282 for the other set. In contrast to 1991 and 1996, four scenarios were presented in UKCIP98
283 labelled 'Low', 'Medium-Low', 'Medium-High' and 'High' (Table 1). The results for annual
284 and seasonal average temperature were similar to those in CCIRG96: a UK warming rate
285 similar to the global-mean with a gradient in the magnitude of warming decreasing from
286 southeast to northwest. Precipitation changes were also similar to CCIRG96: annual and
287 winter precipitation increased for all periods and scenarios and for summer a general tendency
288 for drying in the south of the UK and wetting in the north. A wider range of variables were
289 provided for the 'Medium-High' scenario (e.g. diurnal temperature range, relative humidity,
290 potential evapotranspiration, etc.) and changes in interannual variability were also reported for
291 this scenario. Although based on results from just one climate model, UKCIP98 also
292 presented results in map form from three other GCMs (one each from Canada, USA and
293 Germany; Table 1). Some of the patterns of change across the UK, especially for
294 precipitation, were different in these other models.

295

296 3.4 UKCIP02

297 The fourth generation of UK climate scenarios were published by UKCIP in 2002, following
298 the Third Assessment Report (TAR) of the IPCC. The UKCIP02 scenarios (Hulme *et al.*
299 2002) again presented four scenarios, but each scenario was now explicitly tied to a different
300 emissions profile derived from the four storylines published in the IPCC Special Report on
301 Emissions Scenarios (SRES) (Nakicenovic *et al.*, 2000). The UKCIP02 scenarios were
302 presented at a much higher spatial resolution than previously – 50km cells compared to
303 300km cells in 1996 and 1998 and 500km in 1991 – based on a new regional climate model

304 from the Hadley Centre (HadRM3). Due to the computational expense of this approach, only
305 four regional model experiments for one future time period (2071-2100) were conducted
306 (three with the SRES A2 forcing scenario and one with B2). Pattern-scaling techniques were
307 used to derive climate change scenarios for other time periods (the 2020s and 2050s) and for
308 the three other emissions scenarios (Table 1).

309 Since all results presented in UKCIP02 were again drawn from one climate model
310 hierarchy – that built around HadCM3 – a range of headline results for temperature and
311 precipitation from the other coupled GCM experiments reported in the IPCC TAR were
312 mapped and tabulated. For UK summer precipitation, HadCM3 was amongst the driest of
313 models, whereas for winter precipitation and overall temperature HadCM3 lay in the middle
314 of the model range. Based on these results, expert-based uncertainty margins were included
315 for seasonal temperature and precipitation changes for each emission scenario for the 2080s.
316 As with the earlier scenarios, the UK warmed at a rate very similar to the world as a whole
317 and the same southeast to northwest warming gradient existed as in UKCIP98. The warming
318 in the southeast was particularly pronounced in summer. Winter precipitation increased for all
319 periods and summer was expected to become drier, especially in the southeast. The UKCIP02
320 report presented results for a number of other climate variables (e.g. diurnal temperature
321 range, cloud cover, relative humidity, etc.) and also for daily climate variables which allowed
322 the examination of changes in heating and cooling degree days, daily precipitation, etc.

323 To give a sense of how the estimates of future climate change evolved during these 11
324 years and between the four generations of scenario, Figure 2 shows the results for one climate
325 variable of some significance for UK impacts: the change in summer precipitation for eastern
326 England, the driest region in the UK. The evolving estimates of this variable between 1991
327 and 2002 were not stable: in successive scenarios the estimate of summer drying for eastern
328 England - whether for the 2020s, 2050s or 2080s – became more severe.

329

330 **4 Assessing the Key Issues**

331

332 4.1 Institutional Relationships

333

334 The changing UK institutional arrangements for climate change research and policy (e.g.
335 Wynne & Simmons, 2001; Hulme & Turnpenny, 2004) influenced the processes of scenario
336 specification, design and implementation. The primary funding for each generation of UK
337 climate scenarios originated with the Department of Environment. In 1991 and 1996 the
338 scenarios were constructed as part of the work of the Climate Change Impacts Review Group,

339 an expert Group superseded after 1996 by the UK Climate Impacts Programme. Throughout
340 this period, the Hadley Centre received funding from the DoE/DETR/Defra.

341 The CCIRG91 scenarios were designed and constructed almost entirely by a small
342 group of climate scientists based at the University of East Anglia (UEA) who were invited by
343 DoE to draft the scenarios. Drawing upon a very small number of published studies, and
344 limited by access to results from the first generation suite of equilibrium GCM experiments, a
345 scenario report was written and submitted to the CCIRG for use in their deliberations. There
346 was no specific consultation process with a wider peer community and no formal process for
347 allowing perspectives from outside the review group to influence the design and construction
348 process. The key choices about the scenarios (see Table 1) were based on the scientific
349 judgement of the UEA scientists alone, although influenced by the recent publication of the
350 IPCC First Assessment Report (IPCC, 1990). The IPCC report provided a wider scientific
351 context for the choices made – for example, 3°C was the mid-point of the IPCC range for the
352 climate sensitivity. The CCIRG91 scenarios were therefore a product of a privileged
353 relationship between commissioning civil servants and a scientific elite, and the construction
354 decisions were closed and unchallenged.

355 The CCIRG96 scenarios emerged as a result of a similar process, although with one
356 important difference which further reinforced the existing institutional relationships. In 1991,
357 the DoE established at UEA the Climate Impacts LINK Project⁵, a contracted activity to
358 facilitate the dissemination and use of results from Hadley Centre climate change GCM
359 experiments to the impacts research community (Viner & Hulme, 1994). By 1996, this
360 Project had been operating for nearly five years and had established UEA scientists as having
361 privileged (gatekeeper) access to Hadley Centre modelling results. The (untendered⁶)
362 commission from DoE to UEA in 1996 to construct an updated climate scenario for the UK
363 was explicitly associated with the LINK Project and with DoE's desire to further widen the
364 visibility and use of results from its major climate change research investment, the Hadley
365 Centre. The design of CCIRG96 around the first coupled ocean-atmosphere HadCM1
366 transient climate change experiment was therefore a direct consequence of these institutional
367 relationships. The existence of HadCM1 results, together with the LINK Project, closed
368 down options to consider results from a wider range of GCM experiments. On the other hand,
369 the use of the pattern-scaling method did allow the results from HadCM1 to be presented for

⁵ The LINK Project contract was subject to an open competition between potential contractors. The initial three-year contract once secured, however, was then re-negotiated bi-laterally every three years with the DoE/DETR/Defra and continued throughout the period of this assessment.

⁶ This commission was appended as a supplement to another pre-existing research contract between DoE and UEA.

370 the IS92a emissions scenario – then widely regarded as the standard Business-as-Usual – and
371 for the IPCC Second Assessment Report (SAR) best-estimate climate sensitivity of 2.5°C
372 (IPCC, 1996).

373 The existing contractual relationships between the DoE, the Hadley Centre and UEA,
374 coupled with the recent publication of the IPCC SAR, shaped the design of the CCIRG96
375 scenarios. Nevertheless, as with CCIRG91, this was a predominantly top-down process with
376 little involvement of scientific peers (other than the selected members of the CCIRG) and no
377 formal consultation with potential users of the scenarios or decision-makers.

378 The decision to publish the next set of climate scenarios just two years later
379 (November 1998) was driven by a different combination of factors. A new set of climate
380 change experiments had been completed in 1996 by the Hadley Centre using a new generation
381 of their model – HadCM2 – and there was a desire from DETR for their large investment in
382 this model development and in the computational resources used for the experiments to be
383 exploited. Furthermore, the newly established (and also DETR-funded) UK Climate Impacts
384 Programme based at the University of Oxford was in its first year of operation. One of the
385 most immediate ways of engaging the attention of public and private sector organisations to
386 become partners in a national assessment of impacts and adaptation options was to have an
387 engaging product. A new state-of-the-art set of national climate scenarios was recognised as
388 one of the best ways to entrain stakeholders in the work of UKCIP (Hedger et al., 2000).

389 These two factors were sufficient to initiate the process in 1997 for the design of the
390 UKCIP98 scenarios, despite the Third Assessment Report of the IPCC – providing the next
391 international climate science benchmark - not being due until 2001. The existence of UKCIP
392 now changed the institutional relationships between DETR, UEA and Hadley. Although the
393 contract (through a single-action tender⁷) to develop and publish UKCIP98 was between
394 DETR and UEA, the contract was to be completed under the auspices of the UKCIP and with
395 a more explicit role in the design and construction process for scientists from the Hadley
396 Centre.

397 A four-way relationship was now established between the key actors, each of whom
398 had a different role and interest in the scenario construction. DETR remained the ultimate
399 funders of the work (i.e., they were the client) and their primary concern was to see science,
400 particularly Hadley Centre science, being used to support the policy goal of a well-adapted

⁷ ‘Single-action tenders’ were issued by a Government Department when only one contractor was invited to submit a bid to meet the specifications for a commissioned piece of work. Such tenders were competitive against benchmark criteria of quality and cost, but not competitive against rival contractors. The latter would result from ‘multiple-action’ or ‘open tenders’.

401 UK through the development of impacts and adaptation assessments. UKCIP was a DETR-
402 funded programme with their own objectives of facilitating a stakeholder-led national impacts
403 assessment, and they acted as a conduit for representing the interests and needs of an
404 emerging constituency of stakeholder organisations (Hedger et al., 2000). The Hadley Centre,
405 also largely-funded by the DETR, were the developers of the premiere UK climate model and
406 were concerned to ensure appropriate, and highly visible, use of their model results. UEA
407 were the contractors for delivering the UKCIP98 scenarios, comprising a small team of
408 independent scientists who for many years had undertaken contract research for DETR, and
409 several of whom were UK-nominated scientists heavily involved in the IPCC.

410 The publication of the Third Assessment Report of the IPCC in 2001 acted as the main
411 influence on the timing of the fourth generation of UK scenarios, UKCIP02. The UK Climate
412 Impacts Programme had now embarked on its second phase contract (2000-2005) with Defra
413 and had established a much larger and more vociferous network of stakeholder organisations
414 in the UK who were actively engaged in thinking about the implications of climate change for
415 their business strategies (Hedger et al., 2006). The contract to design and publish the
416 UKCIP02 scenarios was let by Defra to UEA (again through single-action tendering) in the
417 first half of 2000, providing an almost two-year design and development period, far longer
418 than had existed in previous scenario rounds.

419 This extended time-frame allowed for the completion of new climate model
420 experiments by the Hadley Centre, for example, using high-resolution atmosphere models and
421 a 50km-resolution regional climate model. It also allowed for an extended period of
422 consultation with the UKCIP user community about some of the more detailed aspects of the
423 scenario construction methods. A UKCIP02 stakeholder panel was established consisting of
424 about 15 individuals representing a range of governmental departments, non-departmental
425 public bodies and private companies, and a number of consultation exercises were designed
426 through the UKCIP.

427 The design and development process for the scenarios was again led by UEA, but was
428 embedded in a larger, more complex set of interests and perspectives than had existed in
429 earlier scenario rounds. Key decisions were again taken by a small informal group of Defra
430 officials, Hadley Centre scientists, UKCIP representatives and UEA scientists, but with the
431 wider views of users being filtered through UKCIP and a more iterative series of discussions
432 about some of the key design components that took up to 12 months.

433 For example, extensive discussions took place within this group about the number and
434 naming of the scenarios, their connection with non-climate scenarios (mediated via the IPCC

435 SRES scenarios), their spatial resolution, the selection of a baseline period, the representation
436 of results from modelling centres other than Hadley, what sub-set of weather extremes to be
437 presented, the adoption and meaning of a precise uncertainty lexicon. Whilst the UKCIP
438 consultation process had opened up the design activity to user viewpoints (for example, the
439 user demand for higher resolution information strongly influenced some of the key decisions
440 about uncertainty representation), there was no formal mechanism for a wider peer community
441 of science experts (UK or international) to influence or comment on the design. There *was* a
442 limited expert peer review exercise conducted using a late draft of the UKCIP02 document,
443 but comments and subsequent adjustments were restricted mostly to presentational and
444 linguistic issues. On the other hand, following IPCC procedures and conventions, the final
445 text of the executive summary UKCIP02 was approved word-by-word by Defra officials with
446 any changes made to the summary subsequently being reflected in the main body of the
447 report.

448

449 4.2 Representations of Uncertainty

450 Uncertainty is perhaps the most pervasive feature of climate scenarios. Its presence manifests
451 itself in a number of different forms from uncertainty about future estimates of greenhouse
452 gas emissions to uncertainty about the value of climate sensitivity or uncertainty surrounding
453 initial model conditions and parameterisations (Schneider & Kuntz-Duriseti, 2002; Dessai &
454 Hulme, 2004). It is important to examine uncertainty in climate scenarios because of the
455 importance of uncertainty in shaping public policy.

456 The first set of UK climate scenarios (CCIRG 1991) had a relatively explicit treatment
457 of uncertainty because uncertainty ranges were provided, at least for precipitation change.
458 The ranges were subjective, but they explained the contradictory results given by GCMs and
459 showed that uncertainty expanded with distance into the future. While uncertainties in
460 climate sensitivity were explored to estimate future global-mean temperature – a range of 2-
461 4°C was used – only one emission scenario was used (Business-as-Usual with greenhouse gas
462 concentrations growing at 1.5% per annum). The scenarios were constructed by scaling
463 regional patterns from GCMs using projections of future changes in global-mean temperature.
464 This technique, called ‘pattern-scaling’, was first developed by Santer et al. (1990). It
465 allowed equilibrium GCM experiments (1x and 2x CO₂) to become time-dependent by scaling
466 the change fields with results from a simple climate model. This technique assumes a linear
467 relationship between the local (grid box) climate variable and global mean temperature. While
468 some studies have showed that the linear assumption of pattern-scaling is broadly valid for

469 temperature and somewhat for precipitation (Mitchell et al., 1999; Mitchell, 2003) other
470 studies have shown the opposite (Murphy et al., 2004; Kjellström & Bärring, 2007).

471 The CCIRG91 climate scenarios used information from five GCM experiments, one
472 from the UK (UKMO) and four from the USA (Table 1). For temperature the average of five
473 GCM equilibrium results was used, but not for precipitation. According to Warrick and
474 Barrow (1991), “... *this is because the regional patterns of precipitation changes predicted by*
475 *GCMs are very unreliable, more so than for temperature changes*”. They corroborate this by
476 stating that “*most GCMs have serious difficulties in simulating the present precipitation*
477 *patterns accurately at the regional scale*” and “*the qualitative and quantitative differences*
478 *amongst GCMs for CO₂ doubling experiments are very large*”. The authors also express their
479 lack of confidence in the results as predictions, emphasising that “*they must be regarded*
480 *useful only as scenarios*”. These comments suggest that the authors recognised the
481 importance of communicating uncertainty.

482 The temperature projections in CCIRG91 assumed that the average of five GCM
483 results was better than any single model simulation. Given the large uncertainties associated
484 with precipitation change, in particular for summer (three models showed a decrease and two
485 models showed an increase in rainfall), the authors expressed a subjective judgement (based
486 on the five GCM results) for precipitation change by 2050: a change of $0\pm 16\%$ for summer
487 and $8\pm 8\%$ for winter. CCIRG91 remained silent about other climate parameters because it
488 argued that at the regional scale, “*the uncertainty in climate modelling is very large indeed*”.
489 Projections of sea-level rise were also given for the single Business-as-Usual scenario,
490 including both climate sensitivity and sea level associated uncertainties. By the year 2050,
491 sea level was estimated to be $35\pm 15\text{cm}$ higher than 1990.

492 Section 3 explained the major differences between CCIRG96 and CCIRG91. While
493 sophistication ‘grew’ – in the form of a transient simulation using a coupled model with many
494 more climate variables presented in the scenario – uncertainty ‘lost’. There was a move from
495 using multiple models in CCIRG91 (an ensemble mean or a subjective range) to using a
496 single model (HadCM1) in CCIRG96. The effect this had was that the projections assumed
497 more certainty than was warranted even though the report again classified them as scenarios
498 and not predictions. A sub-section of the report (entitled ‘Uncertainties’) did mention
499 headline results from 11 other GCM experiments (some equilibrium, some transient), so the
500 reader was aware of inter-GCM uncertainty, but these results were not portrayed in the
501 scenario itself.

502 This section of the report also elaborates the impact of climate sensitivity uncertainty
503 (1.5-4.5°C) on regional projections. Despite the existence of six IPCC emissions scenarios at
504 the time (IS92a-f; Leggett et al., 1992), CCIRG96 only used one emissions scenario arguing
505 that “*the IS92a scenario remains a sensible one to use at the present time given that the*
506 *emissions fall roughly mid-way in the range of scenarios recently reviewed by the IPCC*
507 *(Alcamo et al., 1995)*”. From an uncertainty perspective this again reduces the plausible
508 range of the regional projections. Finally, numerous assumptions were made throughout the
509 report (e.g., linear pattern-scaling; changes in extremes without inclusion of changes in
510 variability from the A/OGCM), but not much information was given about their associated
511 uncertainties. For example, for linear pattern-scaling it was only stated that: “*this may be a*
512 *poor assumption*”. In summary, the amount of detailed information presented in the
513 CCIRG96 scenarios increased (compared to CCIRG91) and with better model representation
514 of the dynamics of the climate system (oceans plus atmosphere), but the characterisation of
515 uncertainty regressed.

516 The UKCIP98 scenarios grew further in complexity by using the latest version of the
517 Hadley Centre AOGCM (HadCM2, which included an ensemble of four simulations) and by
518 including detail about changes in a wider set of climate variables. UKCIP98 presented four
519 alternative scenarios that mixed uncertainty about emissions of greenhouse gases with
520 uncertainty about climate sensitivity. The ‘Medium-low’ scenario was composed of the
521 ensemble mean of the HadCM2 experiments forced by 0.5% per annum increase in carbon
522 dioxide equivalent (this forcing scenario was not greatly different from IS92d). The
523 ‘Medium-high’ scenario was forced by 1% per annum increase in carbon dioxide, which was
524 similar to IS92a. The ‘Low’ and ‘High’ scenarios were derived, respectively, from the
525 ensemble-mean patterns of the ‘Medium-low’ and ‘Medium-high’ scenarios. Furthermore,
526 the ‘Low’ and ‘High’ scenarios were derived by scaling these patterns using results from a
527 simple climate model to explore, respectively, a 1.5° and 4.5°C climate sensitivity. The
528 ‘Medium-low’ and ‘Medium-high’ scenarios used the climate sensitivity from the original
529 AOGCM (HadCM2), which was 2.5°C.

530 By presenting four scenarios, the uncertainty range of UKCIP98 was considerably
531 larger than for the CCIRG96 scenarios. However, these four scenarios confounded
532 uncertainty from emissions of greenhouse gases with uncertainty from the climate sensitivity.
533 For the number of sampled emissions scenarios (2) and climate sensitivities (3) the number of
534 possible cases was six (2x3), but UKCIP98 only explore the combination of extremes (1.5°C
535 climate sensitivity with IS92d; 4.5°C climate sensitivity with IS92a) to keep the number of

536 scenarios (4) to a minimum. The uncertainty range would have been larger if all six IPCC
537 IS92 scenarios had been used (6x3=18 combinations) and even larger if other A/OGCMs had
538 been sampled. Like the CCIRG96 scenarios, UKCIP98 only used results from one A/OGCM,
539 thus only sampling a fraction of the plausible uncertainty space. However, results from three
540 other A/OGCMs were discussed in the report.

541 Annual, winter and summer mean temperature and precipitation change results were
542 presented for all four UKCIP98 scenarios, but only for the 'Medium-high' scenario did the
543 report provide information for other climate variables⁸, for climate variability and for daily
544 extremes. This approach understated the uncertainty involved in these estimates and
545 implicitly favoured one scenario over the other three. Other climate-related variables (such as
546 changes in seasonal gales and airflow characteristics) and the transient evolution of the
547 climate over the twenty-first century were also reported. A chapter of the UKCIP98 report
548 was devoted to discussing the downscaling of climate change information (e.g., unintelligent,
549 statistical and dynamical), but no analysis was presented. A section of a chapter focused on
550 uncertainty and levels of confidence. Here the authors ranked subjectively the level of
551 confidence ('high', 'low', etc.) associated with climate variables from UKCIP98. For
552 example, they had 'high confidence' in global-mean temperature, but 'low confidence' in
553 change in climatic variability and even lower confidence on a potential collapse of the
554 thermohaline circulation. In summary, while the complexity of the scenarios increased in
555 UKCIP98, the characterisation of uncertainty was more thorough than in CCIRG96 because
556 uncertainty about initial conditions was tackled (using ensembles), as was uncertainty in
557 emissions of greenhouse gases and the climate sensitivity; at least to some extent.

558 As with previous scenarios, UKCIP02 grew in sophistication by using results from a
559 high-resolution regional climate model (50km grid), new emissions scenarios based on the
560 IPCC SRES, and greater detail about daily climate and changes in extremes. The regional
561 climate model was conditioned by an AGCM (HadAM3H), which itself was conditioned by
562 an A/OGCM (HadCM3). This "double-nesting" approach improved the quality of the
563 simulated European climate (see Appendix 2 in Hulme et al., 2002), but in terms of
564 uncertainty it represented only one possible combination of emissions scenario and climate
565 sensitivity. UKCIP02 therefore presented information at finer spatial and temporal scales
566 than previous scenarios, but this highly resolved information lacked any quantitative
567 characterisation of the associated uncertainties. On the other hand, a more consistent

⁸ They are: diurnal temperature range, vapour pressure, relative humidity, incident short-wave radiation, total cloud cover, mean 10m wind speed and potential evapotranspiration.

568 treatment of the levels of confidence ('high', 'medium' or 'low') surrounding the contributing
569 science was given in UKCIP02 than in earlier scenarios. Levels of confidence were provided
570 for qualitative statements using the judgements of the authors with respect to the following
571 criteria:

572

- 573 • knowledge of the physical reasons for the changes;
- 574 • the degree of consistency between different climate models;
- 575 • an estimate of the statistical significance of the results.

576

577 In the report, the words "*will change*" were used where there was high confidence, whereas
578 the words "*may change*" were used where there was less than high confidence. The report
579 distinguished between emissions uncertainty and scientific uncertainty. There was some
580 attempt at exploring scientific uncertainty by comparing the results of eight other A/OGCMs
581 with the three ensemble members of HadCM3. However, no other features of the climate
582 modelling hierarchy (different high-resolution atmospheric GCMs or regional climate
583 models) were explored. On the basis of the A/OGCM comparison, the authors of the report
584 provided semi-objective uncertainty margins to be applied to the UKCIP02 scenarios for the
585 2080s for changes in average winter and summer temperature and precipitation and for all
586 four emissions scenarios. As in previous scenarios, pattern-scaling (this time using patterns
587 from a regional model) was used to derive different emissions scenarios (A1FI, B1 and B2)
588 and different time periods (2020s and 2050s) from the original three ensembles of 2071-2100
589 under SRES A2.

590

591 4.3 Communication and Application

592 When considering the ways in which the UK climate scenarios have been presented to, and
593 used by, British society there is a clear difference between the scenarios developed in the
594 context of CCIRG and UKCIP. In 1991 and 1996, the scenarios had no independent
595 existence or separate marketing; they were created for a specific purpose (to service the expert
596 review group looking at climate impacts in the UK) and they were communicated by DoE
597 solely in this context. In 1996, for example, the media release (2 July) quoted the then
598 Environment Minister, John Gummer, under the headline 'Climate change will have an
599 impact on the UK'. Most of the text of the media release referred to the impacts on Britain of

600 climate change, with only very brief mention of ‘the climate scenario adopted by the report’
601 for the year 2050.

602 In contrast, the 1998 and 2002 scenarios produced under the auspices of UKCIP were
603 both launched as new stand-alone scientific ‘products’. In both cases a major media event in
604 London was orchestrated by DETR/Defra and fronted with an opening statement from, in
605 November 1998, the Environment Minister Michael Meacher, and in April 2002 from the
606 Secretary of State for the Environment⁹, Margaret Beckett. The focus of the media launch
607 was therefore the climate scenarios themselves – and the headline messages were about how
608 much Britain’s climate might change, what changes in weather extremes might occur and
609 what effects might be felt by the 2020s, 2050s or 2080s. The scenarios were presented as
610 ‘new science’ from the experts and operated both as pedagogic devices – this is what climate
611 change means for Britain – and as quantitative tools for strategic long-term planning and
612 design.

613 The institutional context of the respective scenarios, and the changing profile given to
614 them by the DoE/DETR/Defra, heavily influenced their subsequent use. In 1991 and 1996,
615 there were no guidelines issued alongside the scenarios either to encourage users to make use
616 of the data or to help decision-makers interpret the scenarios for analytical or strategic
617 purposes. Indeed, in 1991 it still remained very difficult for anyone outside the small
618 technical research elite even to know how to access the data (in 1996 this could be done via
619 the LINK Project, but this was not then a widely marketed public web portal). The use of the
620 1991 and 1996 scenarios in any quantitative sense was therefore very limited. One exception
621 was the water industry. The UK drought of 1995 initiated an unprecedented planning
622 exercise to include future climate change in water resources planning. A total of 12 climate
623 scenarios for the 2020s – including CCIRG96 - were considered by the water industry to
624 estimate changes in river flows and groundwater resources (Arnell et al., 1997). While some
625 water companies made use of the scenario provided, many other organisations had
626 reservations about their usefulness for planning (Subak, 2000). This showed mixed success in
627 the 1990s for the application of climate scenarios to adaptation planning.

628 In 1998 and 2002, however, a more formal mechanism was provided to assist the
629 passage of the scenarios from producers to users. On both occasions UKCIP acted both as the
630 gateway for the data and as the *de facto* interpreter of the scenario applications for users who

⁹ This development itself is significant, elevating the perceived status of the scenarios in 2002 by associating their launch with the Secretary of State, a Cabinet-level post, as opposed to the more junior Environment Minister in 1998.

631 wanted the underlying data at whatever level of sophistication¹⁰. Nevertheless, in 1998 there
632 was little formal indication about how DETR and UKCIP expected users to interpret the four
633 scenarios – were all four equally likely and to be given equal weight in a risk assessment?
634 Was the Government recommending use of the ‘Medium-High’ scenario in strategic planning
635 since this scenario had the greatest amount of detail? Was the ‘High’ scenario presented as an
636 inducement for organisations to consider ‘worst-case’ scenarios? Uncertainties also arose
637 about how the four UKCIP98 climate scenarios should be mapped onto the four non-climate
638 futures which UKCIP subsequently published in 2001 (UKCIP, 2001). Should users assume
639 a one-to-one correspondence, even though the design process for the two sets of scenarios had
640 been quite different? What happened in practise was that different assessments and
641 organisations made their own *ad hoc* assumptions about how to combine climatic and non-
642 climatic futures in integrated analyses (e.g. Shackley & Deanwood, 2003).

643 Some of these lessons were learned before the publication of the UKCIP02 scenarios,
644 but formal guidelines for their use in strategic risk assessment and business planning were still
645 not available at the launch. This in part was due to differences of opinion between the various
646 actors involved in the scenario design about what recommendations should indeed be issued.
647 A formal risk assessment framework for undertaking adaptation assessments was later
648 published by UKCIP, but this did not appear until 2003 (Willows & Connell, 2003) and was a
649 generic risk framework rather than specific to the UKCIP02 scenarios. An additional note
650 about uncertainty in the UKCIP02 scenarios was also subsequently published - by the Hadley
651 Centre in 2003 (Jenkins & Lowe, 2003) - in part a response to the number of questions and
652 issues that had been raised by stakeholders after the April 2002 launch. On the other hand,
653 UKCIP provided a well-staffed office through which enquiries about the use of the scenarios
654 could be handled, and in subsequent months and years ran a series of hands-on workshops to
655 which public and private-sector analysts and decision-makers were invited (Hedger et al.,
656 2006).

657 Nevertheless, different organisations subsequently used the UKCIP02 scenarios in
658 different ways according to their own perspectives and priorities. For example, the UK water
659 industry commissioned their own study to calculate the recommendation water resource
660 guidelines for use by the industry regulator (UKWIR, 2005), in so doing deciding that the
661 uncertainty range represented in UKCIP02 was insufficient for their purposes (they used a
662 wider envelope of results from GCM experiments other than the Hadley Centre; Arnell &

¹⁰ In 1998, requests to UKCIP were forwarded to the LINK Project, but in 2002 users had to register with UKCIP to obtain access to the data through the UKCIP web site.

663 Delaney, 2006). The Chartered Institute of Building Survey Engineers also commissioned
664 their own work, drawing upon UKCIP02, but used their own methodology in applying the
665 scenario results to revise the heating and cooling building design standards to be
666 recommended to their members (CIBSE, 2005). There are also examples of organisations
667 that decided not to consider climate change in their planning (e.g. Hertin et al., 2005;
668 Cabantous & Pearman, in press).

669

670

671 **5 Critical Assessment**

672

673 We now return to our framing ideas to help understand and interpret the evolution and
674 characteristics of UK climate scenarios which we have summarised above. We start by
675 considering the relationship between science and policy using the metaphor of supply and
676 demand.

677

678 5.1 Supply and Demand

679

680 The design and construction of each generation of UK climate scenarios has been
681 predominantly science-led (i.e., supply-led) rather than either decision-led (i.e., demand-led)
682 or an outcome of a balanced negotiation between supply and demand. Only the UKCIP02
683 scenarios had any formal mechanism to allow user (demand) perspectives to be heard, and
684 even here such users were not elevated to co-negotiators in the design process. Thus the
685 supply side drivers were dominant: the IPCC and the Hadley Centre models. The benchmark
686 science reported in successive assessments of the IPCC (1990, 1996, 2001) exerted a strong
687 influence on the design (and timing) of the scenarios (and on their representation of
688 uncertainty). Even more important was the hegemony increasingly established over the
689 scenarios by the climate modelling of the Hadley Centre. This influence was weakest in
690 CCIRG91 and strongest in UKCIP02. In 1996, 1998 and 2002 new modelling experiments
691 (using new models) from the Hadley Centre, acted as the primary driver for the scenario
692 design: transient experiments (1996), ensemble experiments (1998), nested regional models
693 (2002).

694 The difficulties of finding a suitable compromise between supply and demand are
695 illustrated in UKCIP02, when there was an attempt to give users and decision-makers a voice.
696 The strong demand expressed by many UKCIP stakeholders for higher-resolution information
697 influenced the decision to use the 50km regional model experiments from the Hadley Centre

698 as the centrepiece for UKCIP02. But in meeting this particular user requirement, options for
699 expressing a wider context of modelling uncertainties, for example as expressed in model
700 results from other centres, were closed off. No other modelling centre had then run a suite of
701 50km experiments, although if a coarser resolution for the scenarios had been adopted, for
702 example 300km, then a much wider range of modelling uncertainties could have been
703 explicitly present in UKCIP02. The contradictory outcome of this was that one user demand
704 had been met (higher spatial resolution) at the expense of another (broad representation of
705 modelling uncertainty). Resolving this trade-off (for example by running multiple regional
706 climate model simulations for many different emissions scenarios and driving A/OGCMs)
707 would have required greater computational power than was available on the supply-side. The
708 water industry subsequent had to commission independent work in order to capture the larger
709 uncertainty range they believed was desirable and that was lacking in UKCIP02 (UKWIR,
710 2005). In this case, the alignment of interests between one user demand (spatial resolution)
711 and the scientific desire for novelty (use of new 50km models), marginalised another user
712 demand (wide representation of uncertainty).

713

714 5.2 Saliency

715 In our interpretation of the history of UK climate scenarios we also refer to the ideas of Cash
716 et al. (2003) about the management of knowledge at the interface between science and policy.
717 They use the ideas of saliency, credibility and legitimacy. We have already commented
718 above on one aspect of saliency – the suitability of the UK climate scenarios for user needs.
719 Whilst we have exposed some limitations in respect of this criterion, we also make the
720 observation that the creation of the UK Climate Impacts Programme in 1997 offers some
721 possibilities for longer-term institutional learning about how best to deploy climate science in
722 the construction of climate scenarios for the UK and in their application in decision-making.
723 If the UK climate scenarios are seen as ‘boundary objects’ (cf. Star & Griesemer, 1999) –
724 constructed by interaction between the worlds of science and policy and thus gaining
725 authority in both worlds – then allowing their construction to take place in 1998 and 2002
726 under the auspices of UKCIP enhanced their saliency compared to the more *ad hoc*
727 arrangements which led to CCIRG91 and CCIRG96. In this respect, we agree with Lorenzoni
728 et al. (2006) that UKCIP has acted as a ‘boundary organisation’ (cf. Guston, 1999), drawing
729 in experts from both worlds – science and policy - and allowing their interaction to be
730 mediated by a further cohort of professionals.

731 There is nevertheless more learning that is needed here - about reconciling user needs
732 and expectations with the supply-side of climate science and modelling - if future UK climate
733 scenario products are to further improve their saliency. For example, can climate change
734 modelling experiments genuinely be co-designed between scientists and users? Can multiple,
735 and sometimes conflicting, demands from users for certain scenario attributes be reconciled?
736 Can different ways of framing uncertainty between scientists and decision-makers be captured
737 in one set of scenario products?

738 If one looks across different sectors of UK society it is noticeable that there has been
739 an uneven uptake of climate scenario information. This is not surprising since different
740 individuals, organisations and sectors are sensitive to climate in different ways and to
741 different degrees. Consequently, the saliency of climate scenarios will be unevenly
742 distributed amongst sectors of society. It is also the case that downstream products (e.g.
743 impact assessments) may be more useful to decision-makers than the scenarios themselves,
744 although climate scenarios are generally used as an input into such assessments.

745

746 5.3 Credibility and legitimacy

747 The second criterion identified by Cash *et al.* is credibility – was the technical handling of the
748 scientific issues involved in the scenarios credible to a wider peer community? In part this is
749 bound up with the legitimacy criterion, although there are other benchmarks which could be
750 used as well (cf. Clark & Majone, 1985). So, for example, one might view the successive
751 reports of the IPCC as providing a reference point against which the technical handling of
752 issues in the UK scenarios could be judged. We have noted that certain design aspects of
753 CCIRG91, CCIRG96 and UKCIP02 all drew upon these IPCC reports (e.g. climate
754 sensitivity, emissions scenarios, baselines), thus gaining a degree of credibility. On the other
755 hand, subjective interpretations of IPCC products/statements was still needed such that
756 CCIRG91 and CCIRG96 only used one value for the climate sensitivity rather than the IPCC
757 range, and in UKCIP02 a sample of different GCMs (a specific recommendation of IPCC;
758 Mearns *et al.*, 2001) was used in a rather peripheral sense and was not central to the scenario
759 design.

760 The representation of uncertainty in the UK climate scenarios therefore certainly
761 played an important role with respect to their credibility. The role of the pattern-scaling
762 technique is of interest in this regard. It was used – with slight variants - in all four
763 generations of scenarios, functioning as an anchoring device to compensate for the limited
764 sampling of uncertainties elsewhere in the scenario design – for example, of future emissions,

765 of climate sensitivity or of different time periods. Yet pattern-scaling techniques are
766 themselves contested within the specialist literature and are opposed by some climate
767 modellers. There was very little discussion in any of the scenario reports about the
768 significance of using pattern-scaling as a technique, nor any account of the full traceability of
769 its pedigree.

770 We have seen how the institutional relationships between important climate change
771 actors in the UK, and the nature of available funding streams, had a powerful influence on
772 different generations of the UK scenarios. These relationships had an important bearing on
773 the legitimacy of the scenarios. The dominance of DoE/DETR/Defra funding of the Hadley
774 Centre, UKCIP and of specific contracts to UEA acted to exclude other potential institutions,
775 research groups, models or ideas from contributing to the scenario construction. This was
776 most evident in the nature of DoE's contracts to UEA – either informal or else operating
777 through single-action tenders. The special relationship between DoE and Hadley Centre
778 throughout this period – even more so at the end than at the beginning – also acted to narrow
779 scientific input into process. Thus other scientific capabilities in the UK, for example the
780 University of Reading, University of Oxford, the NERC-funded UGAMP Consortium¹¹, were
781 never invited to tender for the work, were never formally involved in any peer-consultation,
782 nor acted as peer reviewers of the final product (the light peer review of UKCIP02 was mostly
783 by international experts).

784 The consequence of this deficit in legitimacy as seen by the wider UK peer
785 community was that both the UKCIP98 and, especially, the UKCIP02 scenarios were subject
786 to repressed criticism (it was rarely voiced in public or in written form) by other UK climate
787 scientists¹². This legitimacy deficit was also expressed in a different form through a House of
788 Commons Science and Technology Select Committee Enquiry into the way in which the
789 Government secured its scientific advice on climate change (House of Commons, 2000),
790 claiming an 'over-reliance' on results from the Hadley Centre models.

791 As argued by Cash et al. (2003), saliency, credibility and legitimacy are three ways of
792 looking at the effectiveness of 'boundary objects' - such as scenarios – in their role of linking
793 knowledge with action. If UK climate scenarios are to retain their cohering function, their
794 benchmark status, for assessments of climate change impacts and adaptation options, then it is
795 important that they score highly on these three criteria. We have suggested that the saliency,

¹¹ The Hadley Centre collaborated in a background research mode with these other UK climate modelling capabilities, but never specifically in the context of generating the UK scenarios.

¹² For example, one prominent climate modeller expressed concerns about the under-representation of uncertainty in the UKCIP02 scenarios in more than one UK research funders forum meeting.

796 credibility and legitimacy of the UK climate scenarios have not been as great as they could
797 be.

798

799 5.4 Social construction

800 Our final interpretative comment draws upon some of the insights of social constructivism
801 from environmental sociology. Throughout the four generations of UK climate scenarios
802 there were decisions made about the representation of uncertainty that were never made
803 explicit and that were a reflection of certain attitudes, unstated assumptions or belief systems
804 of the key actors in the construction process. The decisions may well have been sympathetic,
805 again implicitly, with the views of the wider scientific community with respect to climate
806 change, but they tended to reflect a particular natural science attitude to uncertainty and the
807 future. These are examples of the hidden interpretations of knowledge informing policy that
808 Wynne and Simmons (2001) identified in their study of institutional cultures in the UK in
809 relation to the management of global change risks.

810 For example, in 1991 and 1996 only one future growth rate in greenhouse gas
811 concentrations was used for the UK scenarios. This occurred despite the IPCC in 1990
812 publishing three different future growth rates and then in 1992 publishing a range of six
813 different emissions scenarios. In CCIRG96 the use of IS92a was defended on the grounds
814 that it was ‘in the middle’ of the range of emissions curves. As subsequently shown,
815 however, by the IPCC SRES report (Nakicenovic et al., 2000), a wide range of future
816 emissions paths are possible depending on the choice of future world development and
817 attaching relative likelihoods to these different pathways is a value-laden exercise (e.g.
818 Schneider, 2001). Simply choosing the middle curve because it’s the middle curve is a poor
819 rationale.

820 Another example of hidden, yet potential significant, assumptions or decisions about
821 the scenario design concerns the UKCIP98 scenarios. Four scenarios were described, based
822 on two different emissions futures, and although no explicit weighting was given to any one
823 of these, there was a clear subliminal message to users that the ‘Medium-High’ scenario was
824 the one that carried greater weight. This occurred because it was only for this scenario that
825 detail was provided about changes in a wide range of climate variables and about changes in
826 daily and interannual variability. As with UKCIP02 – where the regional patterns were all
827 derived from the SRES A2 (again, the ‘medium-high’ scenario) – it was technical, scientific
828 reasoning that led to this choice being made and, implicitly, weighting the future range of
829 possible worlds according to the dictates of climate modellers. For climate modellers, it

830 makes sense only to run a series of expensive climate model simulations when the greenhouse
831 gas forcing is sufficiently large to induce a large ('detectable') signal in the climate response
832 relative to natural variability. This reasoning always leans in favour of climate model
833 experiments forced with high-end emissions scenarios, for example, IS92a, 1% per annum
834 growth or SRES A2. Exploring a low emissions world – such as SRES B1 – in a climate
835 model experiment is rarely as interesting for a climate modeller.

836 This sociological reading of the UK climate scenarios reveals that all such exercises at
837 constructing future climates will carry with them specific attitudes towards the predictability
838 of complex systems, the weight to be given to computer simulations (cf. Peterson, 2006) and
839 the belief systems about how future society is 'likely' to develop. The influence of such
840 proto-scientific beliefs on the way scientific knowledge is used and interpreted is perhaps
841 inescapable (this after all is the insight offered by SSK studies), but the response to such
842 insight is to argue for greater transparency (honesty) of such epistemological assumptions and
843 for more care to be given to the legitimacy of the processes of negotiation and construction
844 (see above). Attention to these dimensions of social constructions will go some way towards
845 securing what Latour (2004) suggests, in a precisely normative sense, 'good construction'
846 over 'bad construction'.

847

848 **6 Conclusions**

849 We have surveyed the construction and application of four generations of UK climate
850 scenarios over a decade and more. We have considered the institutional relationships within
851 which these scenarios were negotiated, the ways in which they represented uncertainty and
852 were communicated to a wider society. We have sought to interpret some of the
853 characteristics and outcomes in terms of a number of different framing ideas drawn from
854 wider thinking in science and technology studies and from the sociology of scientific
855 knowledge. Our final reflections lead us to propose a number of conclusions.

856 There is an increasing move towards the construction of nationally-based climate
857 scenarios. On the basis of the UK experience, the construction of such climate scenarios is a
858 multi-layered process in which values, scientific capability and institutional capacity interact
859 in complex ways. The emergence in the UK of a boundary organisation (UKCIP) to help
860 orchestrate such negotiation has been significant. UK climate scenarios have emerged as
861 exploratory and situated products in time and space, rather than as universal and definitive
862 narratives (even less as absolute predictions) about the future.

863 Constant vigilance is needed to ensure that appropriate mechanisms and weights are
864 given to the supply (science) and demand (decision-context) sides of the scenario
865 negotiations. Consideration should be given in each instance as to what the appropriate
866 voices and weights are, rather than leaving them to emerge by chance (which usually means
867 acceding to the most powerful voices). There is a tendency, emerging from the
868 epistemological hegemony of natural science-based climate models over other approaches to
869 the portraying the future, that debates about scenario construction revolve around technical
870 details – spatial downscaling, construction of probabilities, temporal resolution, more climate
871 variables – rather than around different ways of seeing world futures or of articulating the
872 particular decision-contexts in which scenarios will be used (e.g. the way uncertainty should
873 be represented, the type of risk-management framework adopted, the relationship between
874 seasonal-decadal forecasts and climate scenarios).

875 An essential component of the communication and dissemination process for such
876 climate scenarios is to convey the range of assumptions, conflicts and compromises that have
877 been made in their construction. This is not just a transparent account of the uncertainties that
878 are represented (cf. Moss & Schneider, 2000), but should also encompass a description of the
879 processes that led to one particular scenario design rather than any other. Given the reliance of
880 climate scenarios on data from climate models, model quality assurance needs to be
881 conducted in order to assess the robustness of the knowledge being produced (cf. Risbey et
882 al., 2005). There is also a need to make clear the ways in which scenarios may be re-
883 interpreted by different types of decision-makers and in different kinds of decision-making;
884 for example, in strategic business planning, in policy regulation, or in engineering design.
885 Just as there are many ways to design and negotiate climate scenarios, there are many ways in
886 which they can be used.

887 Climate scenarios are not simply the immutable product of a climate modelling
888 laboratory; they do not emerge fully formed and articulated as the end result of a modelling
889 experiment. Climate scenarios are the result of an increasingly intricate negotiation between
890 scientists, policy-makers, communicators and numerous and diverse stakeholders in society, a
891 process characteristic of ‘post-normal science’. They are designed through negotiation, not
892 discovered through research.

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899

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1097 **Biographical Details**

1098

1099 **Mike Hulme** is Founding Director of the Tyndall Centre for Climate Change Research which is
1100 headquartered in the School of Environmental Sciences at the University of East Anglia (UEA). He
1101 has published over 100 peer-reviewed journal papers and over 30 book chapters on climate change
1102 topics. He has prepared climate scenarios and reports for the UK Government, the European
1103 Commission, UNEP, UNDP, WWF-International and the IPCC. He is leading the EU Integrated
1104 Project ADAM (Adaptation and Mitigation Strategies) during the period 2006-2009, which comprises
1105 a 26-member European research consortium contributing research to the development of EU climate
1106 policy. He co-edits the journal *Global Environmental Change*.

1107

1108 **Suraje Dessai** is a post-doctoral researcher in the Tyndall Centre, in the School of Environmental
1109 Sciences at the University of East Anglia. He completed his PhD in 2005, funded by the Government
1110 of Portugal, on adaptation decision-making under conditions of uncertainty, an area of research in
1111 which he continues to publish. He is also interested in representations of uncertainty in climate
1112 change projections, adaptation to climate change in the context of long-term planning (e.g. water
1113 resources planning) and international climate policy (e.g. adaptation funding and OPEC).

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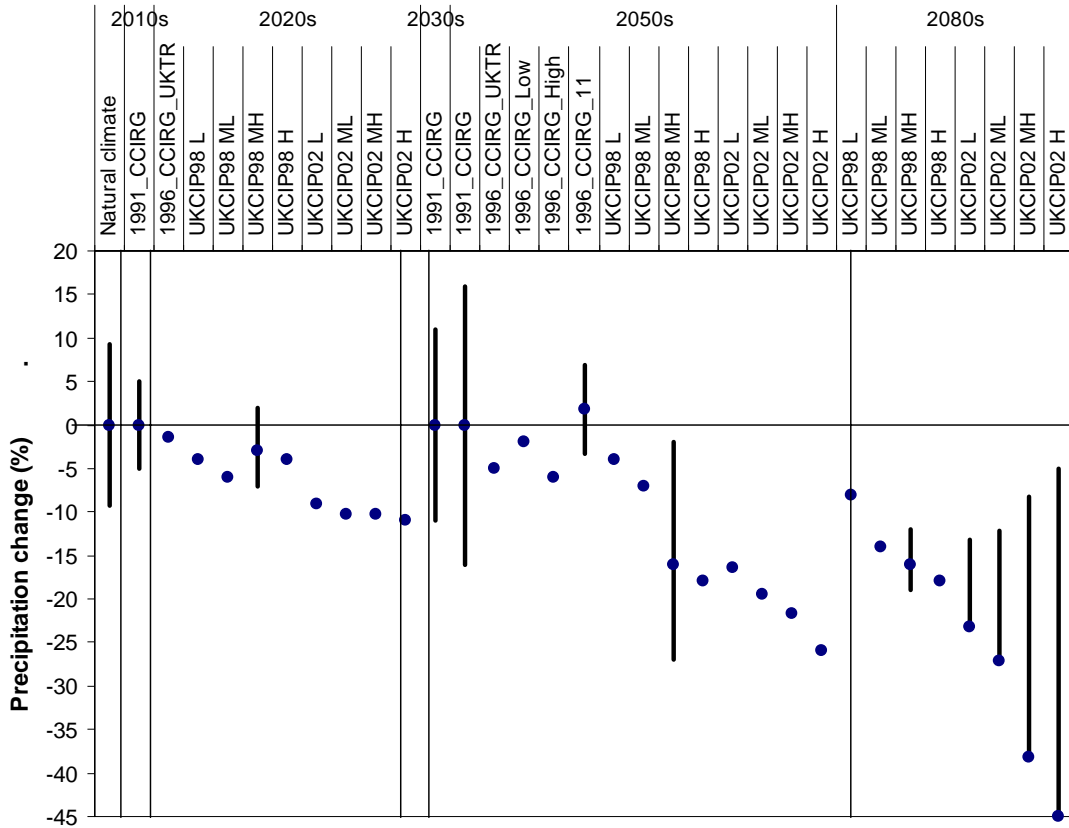
	CCIRG91	CCIRG96	UKCIP98	UKCIP02
Number of scenarios	1	1	4	4
Forcing	1.5% p.a. growth in GHG concentration	IPCC IS92a emissions scenario	0.5% and 1.0% p.a. growth in GHG concentration	IPCC SRES scenarios: B1, B2, A2, A1FI
Climate sensitivity	3°C (although 2° and 4°C used in report)	2.5°C (IPCC 'best-guess')	2.5°C, plus IPCC range of 1.5° and 4.5°C	3°C
Models used	Five atmospheric GCMs (including UKLO)	One atmosphere-ocean GCM, HadCM1 (plus a table with 11 other GCMs of various designations)	One atmosphere-ocean GCM, HadCM2 (plus some results from three coupled GCMs)	One regional climate model (HadRM3), conditioned by HadAM3H, conditioned by HadCM3 (simple maps from eight other coupled GCMs)
Spatial resolution	5° by 5° grid (c.500km), plus interpolated maps	2.5° by 3.75° grid (c.300km), plus interpolated maps	2.5° by 3.75 grid (c.300km), plus interpolated maps	0.44 by 0.44 grid (c.50km); no interpolation
Temporal resolution	Seasonal averages	Seasonal averages	Monthly/seasonal averages, plus some daily weather variables	Monthly/seasonal averages and interannual variability, plus some daily weather variables
Pattern-scaling	Yes	Yes	Yes	Yes (but without use of a simple model)
Climate variables	Temperature and precipitation (and degree-days)	Temperature and precipitation (and eight other variables)	Temperature and precipitation (and nine others)	Temperature and precipitation (and 13 others)
Sea level	Yes	Yes	Yes	Yes
Baseline observational data	No	No	Yes; and observed trends	Yes; and observed trends
Other attributes	Mentions 'climate change commitment'	Uses a statistical model for changes in temperature extremes	Mentions sulphate aerosol effects, the effect of the Kyoto Protocol, and the thermo-haline circulation	Mentions the thermo-haline circulation, discusses climate feedbacks not considered, and has a section on other uncertainties
Report pages	10	32	78	112
Data available?	No	Available, but not mentioned in the report	Yes, via UKCIP office/LINK Project	Yes, via UKCIP web-site

Table 1: Summary characteristics of the four generations of UK climate scenarios.

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UK Climate Scenarios	UK developments	International developments																							
			1989	Hadley Centre established																					
			1990																						
CCIRG91 scenarios			1991	LINK Project established																					
			1992																						
			1993																						
			1994																						
			1995																						
CCIRG96 scenarios			1996																						
			1997	UKCIP established																					
UKCIP98 scenarios			1998																						
			1999																						
			2000	UK climate change programme																					
			2001																						
UKCIP02 scenarios			2002																						
			2003																						
			2004																						
			2005																						
			2006	UK climate change programme																					
			2007																						
<i>UKCIP08 scenarios</i>			2008																						

Figure 1: A timeline from 1989 to 2008 of the main developments internationally and within the UK relating to climate change science and politics.



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Figure 2: Chronology of estimates of summer precipitation change (%) for the southeast of England for different future time horizons (2010s, 2020s, 2030s, 2050s and 2080s), derived from the scenarios summarised in this section. The interval ranges are based on the judgment of the authors of the studies based on the GCM results (CCIRG91), other GCM results (CCIRG96 and UKCIP02 2080s) or ensemble runs (UKCIP98 MH).